



## UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 2  
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UNITED STATES DEPARTMENT OF COMMERCE  
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 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/729,795	12/05/2003	Ian Walters	05882.0095.NPUS02

27194  
 HOWREY SIMON ARNOLD & WHITE, LLP  
 BOX 34  
 301 RAVENSWOOD AVE  
 MENLO PARK, CA 94025

RECEIVED

MAR 22 2004

AUG 12 2004  
 HOWREY - SV  
 PATENT DOCKETING

CONFIRMATION NO. 2275

FORMALITIES LETTER



\*OC000000012103129\*

Date Mailed: 03/15/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

## Docket Department

File \_\_\_\_\_

Action Resp to Miss Parts dueDue Date 5/15/04W/Ext's 10/15/04Initials Lee 3/22/04

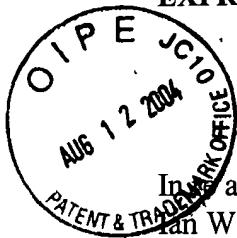
08/17/2004 WARDER1 00000014 10729795  
 130.00 OP  
 02 FC:105:

*A copy of this notice **MUST** be returned with the reply.*

*P Phuong*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  
John Walters

Appl. No. 10/729,795

Filed: December 5, 2003

For: **METHODS OF TREATMENT  
OF ULCERATIVE COLITIS  
WITH ANTI-CD3 ANTIBODIES**

Art Unit: 1653

Examiner: Not Yet Assigned

Confirmation: 2275

Attorney Docket: 05882.0095.NPUS02

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted dated March 15, 2004, Applicant(s) submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

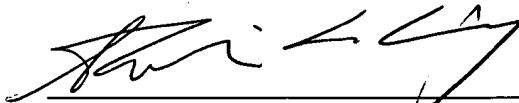
- This Response to Notice to File Missing Parts of NonProvisional Application
- Copy of the Notice to File Missing Parts;
- PTO/SB/17 Fee Transmittal (in duplicate);
- Executed Combined Declaration and Power of Attorney for Patent Application
- Return postcard; and
- Our Check for \$1080.00 to cover:  
\$130.00 Surcharge for late filing of Declaration (37 CFR § 1.16) and  
\$950.00 Extension for response within second month (37 CFR  
§ 1.17(a)(3)).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents.

08/17/2004 WABDEL1 00000014 10729795  
950.00 OP  
01 FC:1253

If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 05882.00095.NPUS02.

Respectfully submitted,



\_\_\_\_\_  
Robin C. Chiang (Reg. No. 46,619)

Date: August 12, 2004

HOWREY SIMON ARNOLD & WHITE, LLP  
301 Ravenswood Avenue  
Box No. 34  
Menlo Park, CA 94025  
(650) 463-8127

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

**ATTORNEY OR AGENT CLAIMS SMALL ENTITY STATUS**

**TOTAL AMOUNT OF PAYMENT** (\$1080)

<b>Complete If Known</b>	
Application Number	10/729,795
Filing Date	December 5, 2003
First Named Inventor	Ian Walters
Examiner Name	Not Yet Assigned
Art Unit	1653
Attorney Docket No.	05882.0095.NPUS02

<b>METHOD OF PAYMENT (check all that apply)</b>					<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																											
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 08-3038 Deposit Account Name:					<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Code</td> <td>Fee (\$)</td> <td><b>Fee Description</b></td> <td><b>Fee Paid</b></td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>130</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td>950</td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - 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late filing fee or oath	130	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for ex parte reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month	950	1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (or reissue)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	77	2811	385	Request for Continued Examination (RCE)		1802	90	1802	90	Request for expedited examination of a design application		Other fee (Specify) _____					SUBTOTAL (3) (\$)	1080
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** or number previously paid, if greater; For Reissues, see above																																																																																																																																																																																																

<b>SUBMITTED BY</b>						(Complete if applicable)	
Name (Print/Type)	Robin C. Chiang	Registration No. (Attorney/Agent)	46,619	Telephone	(650) 463-8127	Date	August 12, 2004
Signature							

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.